

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Edward HOWORKA et al.

Serial No.: To be Assigned

Filed: Filed Herewith

For: ARCHITECTURE FOR AN ANONYMOUS TRADING SYSTEM

New York, New York

Date: June 23, 2000

Group Art Unit: --

Examiner: --

#5/A
9-14-01Asst. Commissioner for Patents
Washington, D.C. 20231PRELIMINARY AMENDMENT

Sir:

Preliminary to examination, please amend the above-identified application as follows:

IN THE CLAIMS:

Claim 3, line 1, delete "or 2".

Claim 4, lines 1-2, delete ", 2 or 3".

Claim 5, lines 1-2, change "any preceding claim" to --claim 1--.

Claim 6, lines 1-2, change "any preceding claim" to --claim 1--.

Claim 9, lines 1-2, delete "or 8".

Claim 10, lines 1-2, delete ", 8 or 9".

Claim 12, lines 1-2, change "any preceding claim" to --claim 1--.

REMARKS

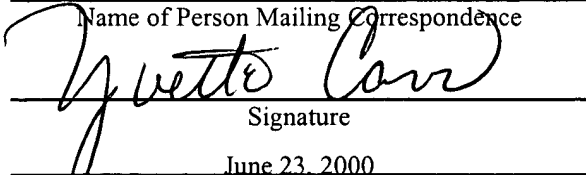
Some claims have been amended (without prejudice) for purposes of fee reduction.

EXPRESS MAIL CERTIFICATE

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail Post Office to Addressee (mail label #EL583738665US) in an envelope addressed to: Asst. Commissioner for Patents, Washington, D.C. 20231, on June 23, 2000:

Yvette Carr

Name of Person Mailing Correspondence




Signature

June 23, 2000

Date of Signature

WOG:db/jg

Respectfully submitted,


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